

OCT 20 2004



Ralph E. Jocke
Patent
&
Trademark Law

FACSIMILE TRANSMISSION COVER SHEET

TRANSMITTING: 3 PAGES (INCLUDING THIS PAGE)
NAME: Commissioner for Patents
P.O. Box 1450, Alexandria, Virginia 22313-1450
FAX NUMBER: (703) 872-9306
DATE: October 20, 2004
SENDER: Ralph E. Jocke, Esq.
FAX OF SENDER (330) 722-6446

In Re Application of:	Zachary Utz et al.	Art Unit:	3624
Serial No.:	10/688,621	Examiner:	
Confirm. No.:	2440	Docket:	D-1174 R2
Filed:	October 17, 2003		
For:	Automated Banking Machine which Dispenses, Receives and Stores Notes and Other Financial Instrument Sheets		

Sir:

Please find attached an Information Disclosure Statement and Form PTC/SB/08. No fee is due pursuant to 37 C.F.R. § 1.97.

Very truly yours,

Ralph E. Jocke Reg. No. 31,029

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this document and the documents indicated as enclosed herewith are being sent by facsimile transmission to the U.S. Patent and Trademark Office this 20th day of October, 2004.

Ralph E. Jocke330 • 721 • 0000
MEDINA330 • 225 • 1669
CLEVELAND330 • 722 • 6446
FACSIMILErej@walkerandjocke.com
E-MAIL

231 South Broadway, Medina, Ohio U.S.A. 44256-2601

BEST AVAILABLE COPY

RECEIVED
CENTRAL FAX CENTER

OCT 20 2004

Practitioner's Docket No. D-1174 R2

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: **Zachary Utz et al.**

Serial No.: **10/688,621**

Confirmation No.: **2440**

Filed: **October 17, 2003**

For: **Automated Banking Machine which Dispenses, Receives and
Stores Notes and other Financial Instrument Sheets**

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the obligations imposed by 37 C.F.R. Section 1.98, Applicant wishes to bring to the attention of the Examiner the following document listed on Form PTO/SB/08 (formerly PTO-1449) submitted herewith.

<u>Patent No.</u>	<u>Patentee</u>	<u>Grant Date</u>
6,474,549	Katou et al.	11/5/2002
6,454,163	Peebles et al.	09/24/2002
6,328,208	Artino et al.	12/11/2001

The citation of these references shall not constitute an admission that they constitute prior art or are material to the present invention.

Respectfully submitted,

Ralph E. Jocke
Reg. No. 31,029
231 South Broadway
Medina, Ohio 44256
(330) 721-0000

OCT 20 2004

PTO/SB/08A (08-03)

Approved for use through 07/31/2006, OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	10/688,621
Filing Date	10/17/2003
First Named Inventor	Utz
Art Unit	
Examiner Name	
Attorney Docket Number	D-1174 R2

Sheet 1 of 2

[illegible][illegible]

**Examiner
Signature**

Date	
Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 808. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.